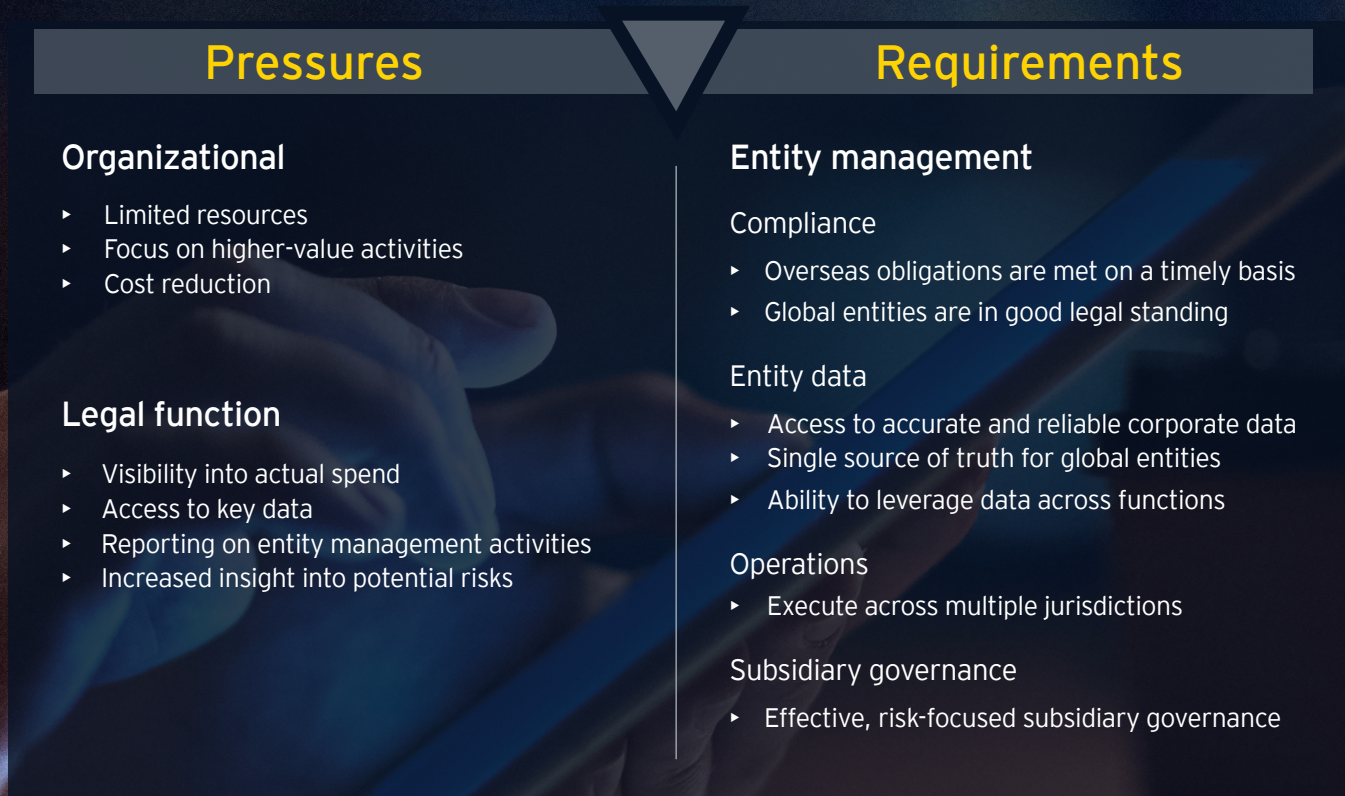


EY Law digital global governance

Technology-enabled entity
management services

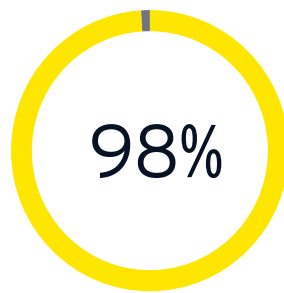


As legal departments continue to modernize, the general counsel office is under pressure to reduce costs, adopt technology and provide greater value to the broader organization. Contending with organizational pressure while meeting legal entity obligations and operational requirements across jurisdictions make effective entity management unnecessarily difficult.

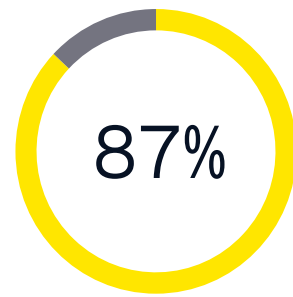


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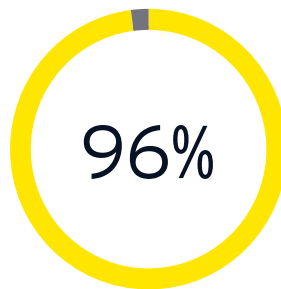
More organizations are looking for an effective way to manage their global entity management requirements, and this very often means engaging with a service provider that can deliver a global solution. EY Law teams have built a broad entity management approach that allows legal departments to engage in a more proactive compliance approach and address the organizational challenges being faced today. Our tailored approach reduces risk through standardization and transparency, and utilizes technology to drive automation and efficiency. At the same time, it allows legal functions to align valuable in-house resources with strategic initiatives, easing the administrative burden that makes up much of entity compliance.



of organizations struggle with legal entity management in some capacity



are already outsourcing entity management or considering doing so



report issues with their current legal entity management technology systems

Top two challenges

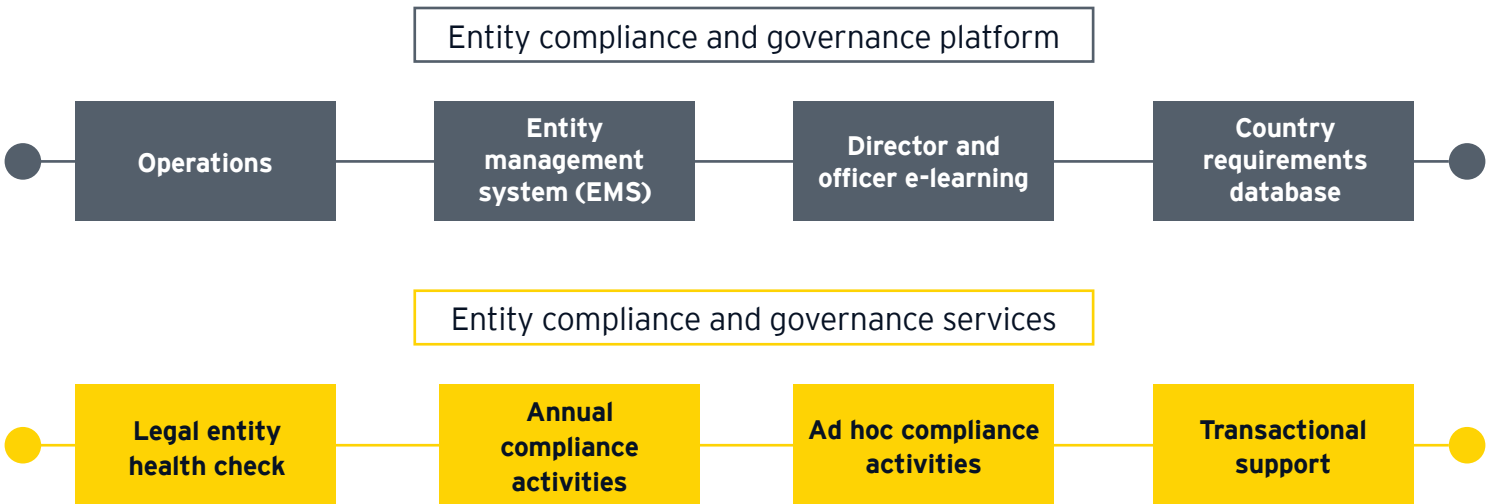
- 1 Difficult to keep updated
- 2 Inability to track the status of governance activities

Source: 2021 EY Law Survey.

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A modern model for entity management

EY teams provide a range of entity compliance technology-enabled services to help clients address their entity management challenges whether they are a mid-market company lacking an entity management system or a large global organization looking for assistance with centralizing subsidiary governance activities or migrating entity data.



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Legal entity health check

- ▶ Assess program design, evolve governance model and categorize subsidiaries by risk
- ▶ Perform program transformation review
- ▶ Conduct governance analytics, diagnostics and benchmarking

Benefits

- ▶ Confirmation of legal entity data
- ▶ Proactive remediation of discrepancies
- ▶ Deal acceleration
- ▶ Reduced potential director liability
- ▶ Insight into filing requirements and deadlines

Entity compliance and governance platform

The entity compliance and governance platform offers efficient, self-service access to entity governance data, automated workflow tools, analytics, knowledge and e-learning. The platform standardizes the user experience and provides a single sign-on to access the suite of customizable and intuitive tools. Organizations have the flexibility to use the full suite or select only the specific tools needed.

Operations

The operations tool facilitates the efficient management of complex, cross-border projects by automating and tracking the service request process.

A range of service requests can be initiated using forms that gather the exact information needed to complete each request. The service request is routed to the appropriate team in accordance with the predesigned workflow which streamlines and expedites the process from beginning to end.

The tool also provides insightful project analytics so you can access real-time data including:

- ▶ **Service-level agreements (SLAs):** how we performed against the agreed SLAs on the project
- ▶ **Entity compliance matters:** the volume, type and status of matters
- ▶ **Cost:** analytics on cost by service and requestor
- ▶ **Geographic analysis:** breakdown by area, region or jurisdiction

Benefits

- ▶ Increased cost containment using insights into cost drivers
- ▶ Increased visibility into compliance activities across the enterprise
- ▶ Standardized, more efficient processes

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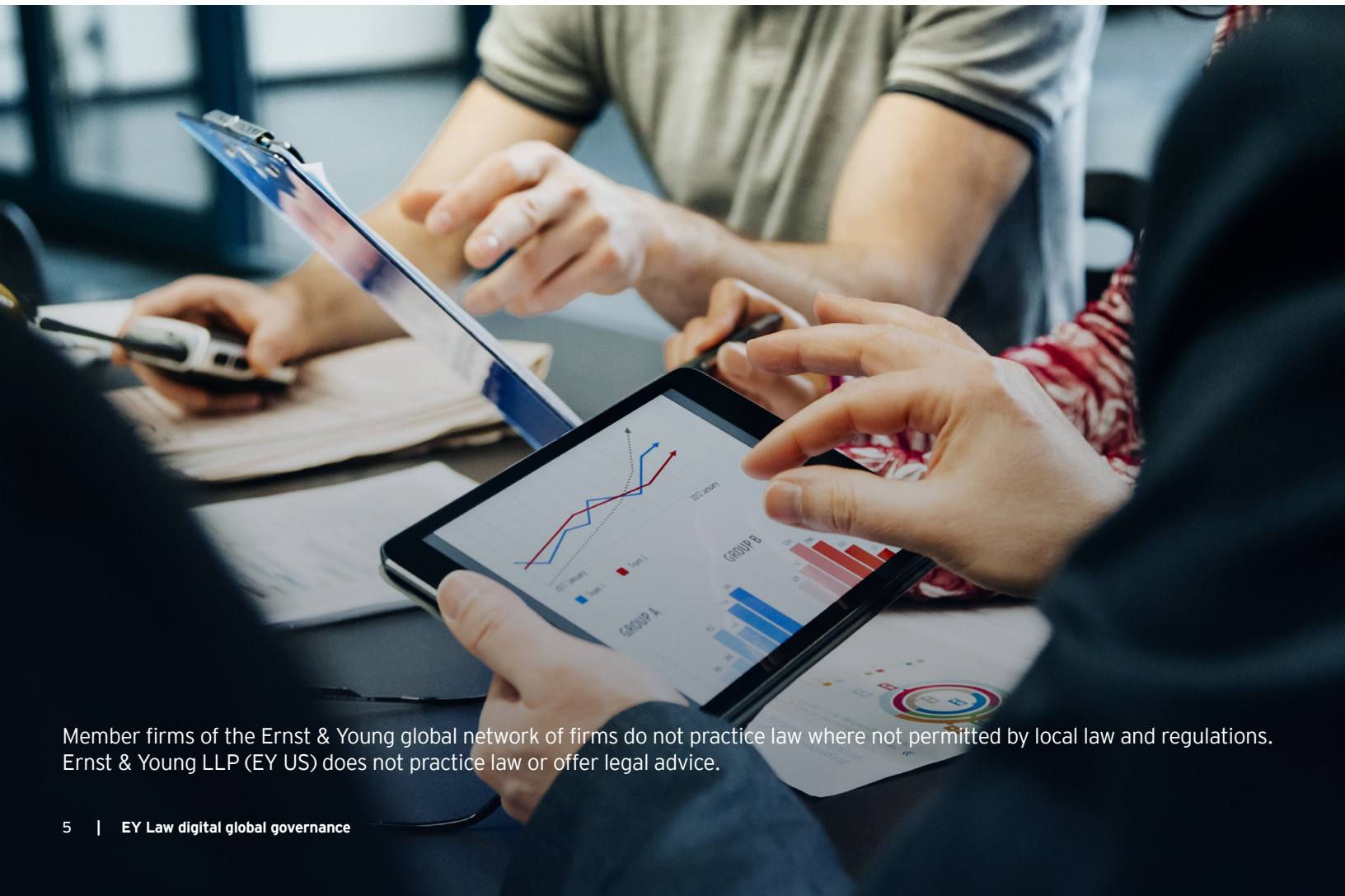
Entity management system

The entity management system, developed by EY professionals provides a single, accurate source of entity information for all corporate functions. The customizable, leading-class technology incorporates dynamic, yet user-friendly capabilities:

- ▶ **Intuitive user interface:** provides easy navigation – even for occasional users – with fewer clicks taking you straight to the information you need
- ▶ **Compliance dashboard:** offers visibility into tasks and deadlines across the organization
- ▶ **Board and governance dashboard:** tracks board composition and provides automated alerts regarding regulatory or legal changes that will impact your global entities
- ▶ **Entity data analytics:** allows access to real-time metrics providing management information about the activities within their group to better understand their risk profiles
- ▶ **Organization charting:** generates accurate and timely organizational charts

Benefits

- ▶ Intuitive even for infrequent users
- ▶ Single, centralized source for all entity information
- ▶ Real-time understanding of compliance activities and potential risk
- ▶ Access to data analytics that supports strategic decision-making



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Knowledge and learning

Up-to-date knowledge of applicable requirements is critical to maintaining proper entity compliance and governance across all subsidiaries in an organization. Several tools are available through the entity compliance and governance platform to help provide quick access to robust learning and knowledge resources.

Detailed and verifiable director training

Self-service e-learning modules for over 80 jurisdictions incorporate knowledge checks and scoring to help reinforce accountability and decrease risk. Supplemental live trainings also can be provided where needed. Standard e-learning topics include:

- An overview of the legislative landscape in each country
- Duties and responsibilities as a director or officer
- Practical guidance around compliance and governance requirements
- An outline of any personal civil or criminal liabilities if duties are not effectively discharged

Country requirements database

The robust, self-service database includes the core corporate secretarial requirements for over 80 jurisdictions. Access the database anytime to gain an understanding of the relevant statutory rules from your jurisdictions, including minimum annual threshold, registration and director requirements.

Benefits

- More informed directors
- Ability to verify director training completion
- Instant access to statutory and regulatory requirements
- Increased mitigation of non-compliance risk that could cause financial penalties and reputational damage

Entity compliance and governance services

Coverage across the globe, local EY knowledge

EY compliance and governance professionals in 140 countries across the globe support the entire entity lifecycle from incorporation to dissolution, including annual and ad hoc compliance, transactional support, health checks and director and officer training. We tailor our approach to align with an organization's immediate needs, priorities and organizational factors.

Leveraging entity compliance services that are further enhanced by the technology components, a client reaps a breadth of benefits, including reduced strain on internal resources, more consistent operations and localized support through a single provider.

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Find out how your challenges can be solved with the EY approach to entity management

Do you know what you spend on entity management and how to reduce it?

01 CHALLENGE

Budget pressures impacting external spend

02 SOLUTION

EY Law teams help deliver economies of scale across the business that provide greater predictability and help reduce costs through:

- An entity management system supporting workflow automation, easy tracking and access to analytics across your entities
- An operations tool to request a range of services and view cost analytics
- Compliance dashboards providing visibility on tasks and deadlines
- Fixed fee pricing with savings on technology license costs

03 BENEFITS

- Lower costs
- Budget predictability

Do your stakeholders have easy access to reliable entity data?

01 CHALLENGE

Access to reliable entity data

02 SOLUTION

Technology alone is not a fix-all solution. EY Law teams provide a customizable approach that can include:

- Legal managed services teams to help migrate and maintain data and administer your entity management systems
- Legal entity health checks to confirm entity data and conduct governance analytics and benchmarking
- An entity management system with an intuitive user interface, customized dashboards and real-time data analytics

03 BENEFITS

- Access to reliable entity data and analytics to support strategic decision-making
- Improved compliance and risk management

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Why EY?

With 3,500 professionals in 90 jurisdictions, including legal managed services delivery centers around the world, EY Law teams offer broad coverage across the globe for entity compliance and governance programs wherever you need it. Combined with our leading technology capabilities and processes, we help our clients reduce their costs and risk and better manage entity compliance and subsidiary governance requirements.



People

We invest in hiring and training talent with a range of skills from technical legal skills, project management, and process professionals.

We deploy teams so that they can augment and support your function.

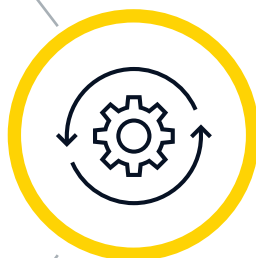
This means your people can focus on higher value activities and servicing the business.

Process

On global engagements, we recognize the importance of effective process and controls.

By focusing on process standardization, improvement and automation, we can help provide real efficiencies for EY clients and this is also reflected in our pricing approach.

We will help you improve your process and controls environment.



Technology

Innovation and technology is how we differentiate ourselves in a competitive market.

We have developed a number of technologies aimed at providing efficiencies, providing insights and cost transparency through analytics.

We will provide you with leading technology solutions.



Governance

Governance is a critical way of managing risk. We can help implement robust project governance to assist us in delivering to your expectations.

We can also help implement leading practice subsidiary governance processes.

We will help you to significantly improve the governance profile of your global subsidiaries.



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To learn more about digital global governance, please contact one of the following EY professionals:



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